Case 6:16-cv-00363-KNM	Document 160-3	Filed 08/09/18	Page 1 of 7 PageID #:	5106
EXHIBIT B				

IN THE UNITED STATES DISTRICT COURT FOR THE EASTERN DISTRICT OF TEXAS MARSHALL DIVISION

CELLULAR COMMUNICATIONS EQUIPMENT LLC,

Plaintiff,

v.

HTC CORPORATION, ET AL.,

Defendants.

Civil Action No. 6:16-cv-00363-KNM

(Consolidated Lead)

JURY TRIAL DEMANDED

CCE'S SUPPLEMENTAL REPLY BRIEF ON CLAIM CONSTRUCTION

In its response brief (Dkt. No. 157), ZTE argues for the first time that the applicant disclaimed the full scope of the phrase "control ... whether the application program behaves in a predetermined manner," during prosecution of U.S. Patent No. 7,218,923 ("the '8,923 Patent").

The intrinsic evidence overwhelmingly demonstrates that there was no disclaimer during prosecution. Disclaimer requires a "clear and unmistakable" intention to abandon the claim scope. *Aria Diagnostics, Inc. v. Sequenom, Inc.*, 726 F.3d 1296, 1302 (Fed. Cir. 2013). ZTE cannot meet this burden. Indeed, the fact that ZTE did not allege this disclaimer argument during any of the three prior claim construction proceedings underscores the weakness of its disclaimer claim.

A. The applicant's statements during prosecution expressly state that modifying or deleting a message constitutes control of an application.

ZTE contends that in order to overcome a rejection based on the Quine reference, the applicant disclaimed that modifying or deleting messages constitutes control. ZTE is wrong.

ZTE's summary conveniently omits claims 3 and 4. In the same Office Action Response in which ZTE alleges that the applicant disclaimed message modification as a form of control, the applicant amended the claims to state that "controlling <u>comprises</u> modifying the message":

3. (Currently Amended) A method according to claim 2, wherein the controlling step includes a step of comprises modifying the message diverted to the controlling entity.

Dkt. No. 157-3 at 3. This claim amendment conclusively demonstrates that modifying the message constitutes control of whether the application program behaves in a predetermined manner. This amendment to claim 3 refutes ZTE's claim that the applicant relied "on the difference between control over application behavior and the mere modification of an application's messages." Dkt. No. 157 at 6. Moreover, the amendment shows that there could not have been any "clear and unmistakable" intention to abandon the modification of a message as a form of controlling whether

an application behaves in a predetermined manner. Disclaimer? Far from it. In fact, the applicant actually reiterates that modifying the message is a form of control of the application.

Similarly, ZTE's disclaimer argument is also at odds with claim 4 of the '8,923 Patent, which states that "the controlling comprises preventing the message diverted to the controlling entity from being transmitted to the communication network." Dkt. No. 153-2. Again, prohibiting transmission of the message is explicitly claimed as a form of control over whether the application behaves in a predetermined manner. The applicant consistently maintained that allowing, modifying, or prohibiting a message constituted control over whether the application behaves in a predetermined manner. *See, e.g., id.* at Abstract; 1:63-2:1; 4:61-5:4; 6:33-41; Dkt. No. 157-3 at 3.

Further, the applicant distinguished Quine by stating that "Quine focuses on checking the address and *proposing* alternatives to assist the user in correcting the email address to be used." Dkt. No. 157-3 at 17 (emphasis added). The most obvious (and most reasonable) interpretation is that the applicant was drawing a distinction between *proposing* a change versus actually modifying a message. Another reasonable interpretation of the applicant's full statement, when placed in context, is that the applicant was distinguishing Quine based on the fact that it does not disclose a controlling entity that is separate from the diverting unit. Thus, even assuming, *arguendo*, that ZTE's interpretation is a reasonable one, there are clearly multiple reasonable interpretations of the distinction drawn by the applicant. "[W]hen a prosecution argument is subject to more than one reasonable interpretation, it cannot rise to the level of a clear and unmistakable disclaimer." *Abstrax, Inc. v. Hewlett-Packard Co.*, 2:14-CV-158-JRG, 2015 WL 156555, at *11 (E.D. Tex. Jan. 12, 2015) (quoting *SanDisk Corp. v. Memorex Prods., Inc.*, 415 F.3d 1278, 1287 (Fed. Cir. 2005)). Here, because multiple reasonable interpretations exist, the applicant's statement during prosecution cannot constitute a clear and unmistakable disclaimer.

B. The specification states that control over the disposition of the message constitutes control over whether the application behaves in a predetermined manner.

To bolster its disclaimer argument, ZTE's alleges that "the specification distinguishes between control over the message and control over the application's behavior." Again, ZTE mischaracterizes the specification. Dkt. No. 157 at 2. Importantly, the specification excerpt relied on by ZTE discusses only what the controlling entity *evaluates*, not how it implements control:

The controlling entity **evaluates** whether any changes are needed in the message or in the behavior of the application.

'8,923 Patent at 1:63-65 (emphasis added). ZTE omitted the next two sentences of the specification, which describe *how the controlling entity implements control* based on the evaluation:

Based on the evaluation, the control[ling] entity then <u>returns the message intact</u> <u>or in a modified form</u>. The controlling entity <u>may even prohibit the sending of the message</u>, if it detects that the application has no pertinent rights or that the application is not behaving, as it should.

'8,923 Patent at 1:65-2:3 (emphasis added). Thus, contrary to ZTE's suggestions, the specification expressly contemplates allowing, modifying, or prohibiting the sending of a message as a form of control. Thus, contrary to the argument that ZTE offers to bolster its disclaimer argument, the specification does *not* make an "either/or" distinction between changes in the message versus changes in the application's behavior. In contrast, ZTE's suggestion that it was relying on the "plain and ordinary meaning" is belied by its own disclaimer argument. It is black-letter law that, if disclaimer exists, it "*narrows* the ordinary meaning of the claim." *Omega Eng'g, Inc., v. Raytek Corp.*, 334 F.3d 1314, 1324 (Fed. Cir. 2003) (emphasis added).

Accordingly, the Court should reject ZTE's belated position that there was a "disclaimer" and construe "control ... whether the application program behaves in a predetermined manner" as "allow, modify, or prohibit a requested behavior from the application program."

Dated: August 9, 2018

Respectfully submitted,

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CERTIFICATE OF SERVICE

The undersigned hereby certifies that counsel of record who are deemed to have consented to electronic service are being served with a copy of this document via the Court's CM/ECF system per Local Rule CV-5(a)(3) on August 9, 2018.

<u>/s/ Jonathan H. Rastegar</u> Jonathan H. Rastegar